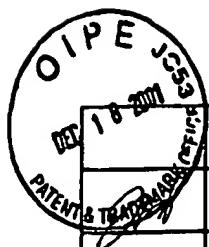


Sheet 1 of 2

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| FORM PTO-1449  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>36287-00700 | SERIAL NO.<br>09/769,979 |
| INFORMATION DISCLOSURE CITATION<br><br>(Use several sheets if necessary) |  | APPLICANT<br>SEAMAN             |                          |
|  |  | FILING DATE<br>January 25, 2001 | GROUP ART UNIT<br>2163   |

### U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE    | NAME              | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | RECEIVED               |
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| <i>JW</i>        | 5,692,233       | 11/1997 | Garman            |       |          |                            | Group 2100 DEC 20 2001 |
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|                  | 5,845,265       | 12/1998 | Woolston          |       |          |                            |                        |
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|                  | 5,978,780       | 11/1999 | Watson            |       |          |                            |                        |
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| EXAMINER   | <i>Lupien et al.</i> |         | DATE CONSIDERED  | 2-16-06    |             |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                      |         |                  |            |             |

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| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i>  |  | Docket Number (Optional)<br>36287-00   | Application Number<br>To be assigned |
|---|--|--|--------------------------------------|
|   |  | Applicant(s)<br><b>David A. Seaman</b>   |                                      |
|   |  | Filing Date<br><b>Herewith</b>   | Group Art Unit                       |
| *EXAMINER<br>INITIAL  | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |  |                                      |
| <i>JW</i>   | 3  | Prospectus, Pricing Supplement No. 53, Morgan, Stanley Dean Witter & Co., Medium Term Notes, Series C, March 30, 2000      |                                      |
| <i>JW</i>   | 4  | Prospectus Supplement, Securities Jefferson-Pilot Corporation, Golden, Sachs & Co., Merrill Lynch & Co., November 27, 1995 |                                      |
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Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO.  
36287-00700

SERIAL NO.  
09/769,979

APPLICANT  
SEAMAN

FILING DATE  
January 25, 2001

GROUP ART UNIT  
2163

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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FOREIGN PATENT DOCUMENTS

|  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
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|  |                 |      |         |       |          | YES         | NO |
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|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |

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| <i>Dick</i>     | BOXES Prospectus, Morgan Stanley Dean Witter & Co., Basket Opportunity eXchangable Securities (SM), Pricing Supplement, January 29, 2002, Prospectus dated January 24, 2001, <a href="http://www.sec.gov/Archives/edgar/data/895421/000090342302000046/msdw424b3_1-30.txt">http://www.sec.gov/Archives/edgar/data/895421/000090342302000046/msdw424b3_1-30.txt</a> |
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